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PTO/SB/82 (09-03)

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	Application Number	09/973,889
	Filing Date	Oct. 9, 2001
	First Named Inventor	Schein
	Art Unit	2171
	Examiner Name	Not Assigned
	Attorney Docket Number	P5572 NP

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Telephone	781-442-8626		Fax	781-442-1599	-442-1599				
I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)									
SIGNATURE of Applicant or Assignee of Record									
1 aul D. 3	Name Paul D. Sorkin, Reg. #39,039								
Signature Soules									
Date Ma	rul 2, 2004		ephone	701-442-002					
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.									
*Total offorms are submitted.									

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STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Schein, Phillip Mark Application No./Patent No.: __09/973,889 Filed/Issue Date: __Oct. 9, 2001 Entitled: "METHOD, SYSTEM, AND PROGRAM FOR MANAGING INFORMATION FOR AN APPLICATION PROGRAM USING A FILE MANAGEMENT SYSTEM" _, a <u>Corporation</u> Sun Microsystems, Inc. (Type of Assignee, e.g., corporation, partnership, university, government agency (Name of Assignee) states that it is: 1. The assignee of the entire right, title, and interest; or MAR 1 5 2004 2. an assignee of less than the entire right, title and interest. Technology Center 2 00 The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. MAn assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012256, Frame 0174, or for which a copy thereof is attached. OR B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: - To: -The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at _____, Frame _____, or for which a copy thereof is attached. 3. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. [] Additional documents in the chain of title are listed on a supplemental sheet. [] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Paul D. Sorkin, Reg. #39,039 Date ped or printed name 781-442-8626 Telephone number Signature **Assistant General Counsel**

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Title



Docket: P5572 0046.0001

ASSIGNMENT

Whereas, we

INVENTOR AND CITY

(1) Phillip Mark Schein

County of

of Broomfield and State of Colorado

have invented certain improvement in

TITLE

METHOD, SYSTEM, AND PROGRAM FOR MANAGING INFORMATION FOR AN APPLICATION PROGRAM USING A FILE MANAGEMENT SYSTEM

DATES THAT INVENTORS SIGNED THE DECLARATION and executed, respectively, a United States patent application therefore on

(1) /2// ,2001

Whereas, SUN MICROSYSTEMS, INC., a corporation of Delaware, having a place of business at 901 San Antonio Road, Palo Alto, California, 94303, (hereinafter called SUN), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore:

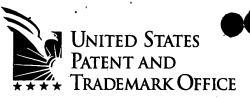
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to SUN, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to SUN, its successors and assigns; and I hereby agree that SUN may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by SUN.

Signed and sealed

CITY DATE (1) at <u>Brown field</u> on 121, 2001,

Phillip Mark Schein

SIGNATURE INVENTOR







DEC 3 1 2001

DECEMBER 26, 2001

PTAS

KONRAD RAYNES & VICTOR LLP
DAVID W. VICTOR, ESQ.
315 S. BEVERLY DRIVE, SUITE 210
BEVERLY HILLS, CA 90212

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RECORDATION DATE: 10/09/2001

REEL/FRAME: 012256/0174

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHEIN, PHILLIP MARK

DOC DATE: 10/01/2001 RECEIVED

ASSIGNEE:

SUN MICROSYSTEMS, INC. 901 SAN ANTONIO ROAD PALO ALTO, CALIFORNIA 94303 MAR 1 5 2004

Technology Center 2100

SERIAL NUMBER: 09973889

PATENT NUMBER:

FILING DATE: 10/09/2001

ISSUE DATE:

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

10-22-2001



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4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution of the executio	yelon date of the application is: Octobe R. EOG. EIVED				
A. Patent Application No.(s):	B. Patent No.(s): MAR 1 5 2004				
Name and address of party to whom correspondence concerning document should be mailed:	tached? □ Yes ⊠ No Technology Center 2100 6. Total number of applications and patents involved: [1]				
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October 9, 2001

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Donne Oker	Application Number	09/973,889	
IKANSIVIITIAL	Filing Date	Oct. 9, 2001	
FORM	First Named Inventor	Schein	RECEIVED
(to be used for all correspondence after initial filing)	Art Unit	2171	
	Examiner Name	Not Assigned	MAR 1 5 2004
Total Number of Pages in This Submission	Attorney Docket Number	P5572 NP T	echnology Center 2106
E	NCLOSURES (Check all that	apply)	
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) emarks	to Techn Appeal (of Appeal (Appeal (Appeal (Appeal (Appeal (V Status L V Other Er Identify (nclosure(s) (please pelow): ler 37 CFR 3.73(b); Notice of
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Firm or Individual name Signature Date March 2, 20		·	
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